

Tarket market - Subscription rights and warrants

## Customer/Owner

Name (first name, surname) / Company (full name	Personal / Coordination / Organisation number			

New rules on the securities market as of 3 January 2018

As of 3 January 2018, the protection of investors in securities was further strengthened by two new regulations, MiFID2 and MiFIR, which aim to increase transparency in the financial markets and provide you as a customer with increased protection. Part of this protection is that Mangold must ensure that the products you buy are suitable for you and that you belong to the target group for the product. As part of this, Mangold needs to obtain certain information about your knowledge and experience of the securities market, your ability to bear losses and your risk appetite, which is done through the questions below.

Questions on knowledge and experience are to be answered by the person who decides which transactions are carried out on behalf of the client (client or authorised representative), questions on ability to bear losses should be answered in relation to the client's financial situation.

## **Subscription rights and warrants**

Experie	nce		
•	Have you traded subscription rights and/or warrants more than five times in the last five years?	Yes	No
•	Do you have relevant education and/or have or have had a profession directly relevant to the understanding of the risks associated with subscription rights and warrants and the functioning of the market for these financial instruments? If not, have you otherwise gained experience with subscription rights and warrants that enables youto understand the risks associated with these financial instruments?	Yes	No
Knowle	dge questions		
•	Is it possible to sell listed subscription rights?	Yes	No
•	Do you always need to act, i.e. sell or subscribe to the rights, when you are allocated subscription rights?	Yes	No
•	Does the company that issued a warrant have any obligation to you as the owner?	Yes	No
•	Do interim instruments, such as subscription rights, have a limited lifetime?	Yes	No
Ability t	o bear losses		
•	Do you consider, in light of your financial situation, that you would be able to bear the loss of the entire capital you plan to invest in any individual subscription right or warrant via Mangold?	Yes	No



Tarket market - Subscription rights and warrants

If you have not answered any of the questions above or answered no to any question, Mangold considers that the financial instruments in question are not suitable for you. If the financial instruments are not considered suitable for you, you still have the right to make the investment. In this situation, Mangold recommends that you consult your financial advisor and together with them carefully consider the investment decision.

Please note that subscription rights and warrants are complex and it may be difficult to fully understand all the risks associated with investing in them if you do not have previous experience with this type of instrument.

		•	•		••	
Do you still want to m	nake the investme	nt?				
Yes	No					
CERTIFICATIO	N AND SIGN	IATURE				
target group defined l	by Mangold for the	e financial instrum	ents concerr	ned. I certify t	gement as to whether I belong to the chart all the above information regard manage financial loss is correct.	
Place/ Date		Name signature (Client/Authorised Representative)			Name clarification (Client/Authorised Representative)	d
To be complete.  The customer is deem for which the product	ned to be part of th		Yes	No	Account Manager:	