

KNOW YOUR CUSTOMER, LEGAL ENTITIES

Custody Account No (to be completed by Mangold)

According to the law (2017:630) on measures against money laundering and terrorist financing, Mangold shall endeavour to know their clients better in order to make an assessment of the risk of being exploited for the purposes of money laundering or terrorist financing. We therefore need to know certain information about you in order to understand the purpose of this business relationship and your transactions. We therefore ask that you answer the questions below. This information is mandatory and applies to your entire savings at Mangold.

All questions must be answered.

Company/entity name		Corporate identification number	
Street address, box or equivalent (registered address)		Postal code (other than Sweden)	
Telephone		Telephone	
Postal code	Postal district	Country (other than Sweden)	
Country (fiscal domicile)		E-mail	
LEI		TIN	

Beneficial Owners*		Reason	
Name (surname, given name)	TIN/PIN	Possession of Votes (%)	Other reason

*Beneficial Owner means a natural person that ultimately controls the legal entity by:

- holding shares, other ownership or membership controls more than 25 % of the voting rights in the legal entity
- having the right to appoint or dismiss more than half of the members of the board or equivalent executives
- an agreement with an owner, a member or the legal entity, a regulation in the articles of association, a general agreement or comparable document can excise the rights intended in the foregoing paragraphs, or
- the chairman of the board, the CEO or other equivalent executive of the legal entity if there is no natural person who ultimately controls the company according to the foregoing paragraphs.

If a natural person ultimately controls on or more legal entities which controls another legal entity according to paragraph 1–3 above, he or she shall be considered to ultimately control the latter legal entity.

A natural person shall be considered to ultimately control the legal entity if he or she together with one or more closely related parties can control the legal entity according to paragraph 1–3 above.

Concerning foundations (*Swe stiftelse*) – a natural person shall, beyond what is stated above, be considered to ultimately control the foundation if he or she

- 1) is a member of the board of directors or an equivalent executive,
- 2) represent another legal entity which is a trustee of the foundation

The beneficial owner could also be the person for whose benefit the foundation is trading, if he or she according to the decree of the foundation can get a substantial part of the funds distributed by the foundation.

Do any of the Ultimate Beneficial Owners have any connection to a country outside of the EU (e.g. citizenship or residence)?

If yes, please explain below.

Name of Beneficial Owner	Country	Nature of connection
Name of Beneficial Owner	Country	Nature of connection
Name of Beneficial Owner	Country	Nature of connection

Do any of the Ultimate Beneficial Owners have citizenship, residence or a Green Card in the United States of America?

Yes No

If the legal entity has more than one owner, or is owned by another legal entity, please provide a map or written explanation of the control structure in the box below, or in a separate attachment.

Who makes decisions regarding transactions to be performed on the entity's accounts? (Multiple answers possible)

Beneficial owner Employee of entity* External financial adviser* Other*

*Please provide the name of the decision-maker and an explanation of the relationship with the Entity:

.....

What is the purpose of the Entity's relationship with Mangold? (Multiple answers possible)

Pension Long-term savings/investments Financial security High-frequency trading
 Investing in corporate actions (e.g. IPOs, rights or share issues) Holding/managing currently owned assets

Other:

How much money and/or securities does the Entity plan on depositing annually?

Less than 100 000 SEK 100 001–500 000 SEK 500 001–1 000 000 SEK 1 000 001–5 000 000 SEK
 5 000 001–10 000 000 SEK More than 10 000 000 SEK

How often does the Entity plan to make the deposits?

Less than once a month 1–5 times a month More than 5 times a month

How often will transactions generally be performed on Entity's accounts with Mangold?

Less than 1 transaction/month 1–10 transaction/month More than 10 transactions/month

What is the source of the money/securities being transferred to Entity's accounts with Mangold? (Multiple answers possible)

Entity's revenue Savings/investments Gifts/donations Gifts/donations
 Sale of Property Sale of business

Other:

From where will the Entity generally transfer money and/or securities to Mangold-accounts?

- Swedish bank/financial institution
- Swedish insurance company
- Non-Swedish bank/financial institution
- Non-Swedish insurance company*

*Country:

Please state the name of the bank/financial institution/insurance company from where transfers will generally be made:

.....

What field/type of activity does the company have to which the account belongs?

- Hotel, restaurant, tourism
- Health, medical
- Industry, crafts, service, trade
- Financial activities
- Transport/logistics
- Import/export
- Data/technology/IT

Other:

Does the Entity operate any business, own any company, or have any significant customer in any country other than Sweden or the country where Entity is domiciled?

- Yes (please provide an explanation below)
- No

How many employees does the Entity have?

.....

What is the annual turnover of the company to which the account belongs?

Turnover:

PEP – Politically exposed persons*.

Are you (including the natural persons stated above) or any of your relatives/family members or someone you have or have had a close business relation with a “politically exposed person” according to the law (2017:630) against money laundering and terrorist financing?

- Yes (Please fill in the form “Person in politically exposed position”)
- No

* A politically exposed person is a person who has or who has recently had a prominent public function in a state or a function in the governance of an international organization. Examples of such prominent functions are members of Parliament, ambassadors, high officers of the armed forces and members of a state-owned corporation’s board of directors. If you are uncertain, please contact Mangold.

Signature

I hereby certify that I am an authorized signatory of the Entity, that all questions are answered correctly and certifies that the Entity shall update any subsequent changes by submitting an updated Know Your Customer form to Mangold.

Place and Date	Signature	Name in block letters

If you could not fully answer any of the above questions due to lack of space, this field can be used to provide additional information.