

# KNOW YOUR CUSTOMER, PRIVATE INDIVIDUALS

Custody Account No (to be completed by Mangold)

According to the law (2017:630) on measures against money laundering and terrorist financing, Mangold shall endeavour to know their clients better in order to make an assessment of the risk of being exploited for the purposes of money laundering or terrorist financing. We therefore need to know certain information about you in order to understand the purpose of this business relationship and your transactions. We therefore ask that you answer the questions below. This information is mandatory and applies to your entire savings at Mangold.

## All questions must be answered.

Name (surname, given name)		Personal identification number	
Street address, box or equivalent (registered address)		Postal code (other than Sweden)	
Telephone		Telephone	
Postal code	Postal district	Country (other than Sweden)	
Country (fiscal domicile)		E-mail	
Tax Identification Number (TIN)		Citizenship (other than Swedish)	

### Are you a Swedish citizen?

Yes  No

### Are you a citizen of any other country than indicated above?

Yes  No

If yes, which country?

.....

### Do you have any other connection to any country other than where you are domiciled? (e.g. paying taxes, owning a company or property in country)

Yes  No

If yes, please explain which country and the nature of the connection:

.....

### Who will be trading with the account?

I, Myself  Proxy or Agent

If trading will be done by proxy or agent, please state the name of the proxy/agent and explain the connection to the account owner:

.....

### Employment status

Employed  Self Employed  Unemployed  Retired  Sick Leave  Student

Other: .....

**How much in total do you have saved/invested in securities and money in all financial institutions?**

- Less than 100 000 SEK       100 001–500 000 SEK       500 001–1 000 000 SEK       1 000 001–5 000 000 SEK  
 5 000 001–10 000 000 SEK       More than 10 000 000 SEK

**How much money and/or securities do you plan to transfer to Mangold annually?**

- Less than 100 000 SEK       100 001–500 000 SEK       500 001–1 000 000 SEK       1 000 001–5 000 000 SEK  
 5 000 001–10 000 000 SEK       More than 10 000 000 SEK

**How often will you make transfers to your Mangold accounts?**

- Less than once a month       1–5 times a month       More than 5 times a month

**How often will you perform transactions in general, for example buying or selling financial instruments? (On all your Mangold accounts)**

- Less than 1 transaction/month       1–10 transaction/month       More than 10 transactions/month

**What is your regular monthly income?**

- Less than 30 000 SEK       30 000–50 000 SEK       50 001–100 000 SEK       100 001–300 000 SEK  
 More than 300 000 SEK

**What is the source of your assets? (Money and securities)**

- Salary       Inheritance       Pension       Profit from Securities Trading  
 Savings       Lottery/Gaming       Insurance Payout       Sale of Property

Other: .....

**From where will you generally make transfers to your Mangold accounts? (Several answers possible)**

- Swedish bank       Swedish insurance company       Pension       Employer  
 Non-Swedish bank       Non-Swedish insurance company\*

\*Country: .....

Please state the name of the bank/financial institution/insurance company from where transactions will generally be made:

.....

**Do you have income with a source in another country than Sweden?**

- Yes      Please state which country/countries: .....  
 No

**What is the purpose of your relationship with Mangold? (In regard to all your accounts, multiple answers possible)**

- Saving for yourself       Saving for relatives       Pension       High-frequency trading  
 Investing in corporate actions (e.g. IPOs, rights or share issues)       Holding/managing currently owned assets

Other: .....

**Are you or any of your relatives/family members or someone you have or have had a close business relation with a “politically exposed person\*” according to the law (2017:630) against money laundering and terrorist financing?**

- Yes (you need to fill in the “Politically Exposed Persons Form”)       No

\* A politically exposed person is a person who currently has or who has recently had a prominent public function in a state or a function in the governance of an international organization. Examples of such prominent functions are members of Parliament, ambassadors, high officers of the armed forces and members of a state-owned corporation’s board of directors. If you are uncertain, please contact Mangold.

**Are you the beneficial Owner\* of a legal entity that is a customer of Mangold?**

Yes (you will need to state the Corporate Identity Number)       No

Corporate Identity Number: .....

\* Beneficial Owner means a natural person that ultimately controls the legal entity by:

1. holding shares, other ownership, or membership controls more than 25 % of the voting rights in the legal entity
2. having the right to appoint or dismiss more than half of the members of the board or equivalent executives
3. an agreement with an owner, a member or the legal entity, a regulation in the articles of association, a general agreement or comparable document can excise the rights intended in the foregoing paragraphs, or
4. the chairman of the board, the CEO or other equivalent executive of the legal entity if there is no natural person who ultimately controls the company according to the foregoing paragraphs.

If a natural person ultimately controls on or more legal entities which controls another legal entity according to paragraph 1-3 above, he or she shall be considered to ultimately control the latter legal entity.

A natural person shall be considered to ultimately control the legal entity if he or she together with one or more closely related parties can control the legal entity according to paragraph 1-3 above.

Concerning foundations (Swe stiftelse) - a natural person shall, beyond what is stated above, be considered to ultimately control the foundation if he or she

- 1) is a member of the board of directors or an equivalent executive,
- 2) represent another legal entity which is a trustee of the foundation

The beneficial owner could also be the person for whose benefit the foundation is trading, if he or she according to the decree of the foundation can get a substantial part of the funds distributed by the foundation.

### Signature

I hereby acknowledge that all questions are answered correctly and certify that I shall update any subsequent changes by submitting an updated know your customer form.

Place and Date	Signature	Name in block letters

If you could not fully answer any of the above questions due to lack of space, this field can be used to provide additional information.