

Custody account agreement

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CLIENT CONTACT INFORMATION

Name (surname, first name)		Date of birth	
Street address, box or equivalent (registered address)		Postal code (other than Sweden)	
Telephone		Telephone	
Postal code	Postal district	Country (other than Sweden)	
Country (fiscal domicile)		E-mail	
Bank account (for transfer of funds to the customer)			
Citizenship (other than Swedish)		Foreign tax identification No	

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INFORMATION ABOUT YOU

According to the law (2017:630) on measures against money laundering and terrorist financing, Mangold shall endeavor to know their clients better to assess the risk of being exploited for the purposes of money laundering or terrorist financing. We therefore need to know certain information about you to understand the purpose of this business relationship and your transactions. We therefore ask that you answer the questions below. This information is mandatory and applies to your entire savings at Mangold.

1. Are you a Swedish citizen?

Yes No

2. Are you a citizen of any country other than Sweden?

Yes No

If yes, which country:

3. Do you have any other connection to any country other than where you are domiciled? (e.g., paying taxes, owning a company or property in country)

Yes No

If yes, please explain which country and the nature of the connection:

.....

4. Who will be trading with the account? (Multiple answers possible)

I, myself Proxy or agent

If trading will be done by proxy or agent, please state the name of the proxy/agent and explain the connection to the account owner:

.....

5. Employment status

Employed Self Employed Unemployed Retired Sick Leave Student

6. How much in total do you have saved/invested in securities and money in all financial institutions?

- Less than 100 000 SEK
 100 001- 500 000 SEK
 500 001- 1000 000 SEK
 1 000 001- 5 000 000 SEK
 5 000 001-10 000 000 SEK
 More than 10 000 000 SEK

7. How much do you plan to transfer to Mangold in money and/or securities when opening your account?

- Less than 100 000 SEK
 100 001-500 000 SEK
 500 001-1000 000 SEK
 1 000 001-5 000 000 SEK
 5 000 001-10 000 000 SEK
 More than 10 000 000 SEK*

*Please attach documentation showing the source of the assets. If you have questions, please contact Mangold.

8. How much money and/or securities do you plan to transfer to Mangold annually?

- Less than 100 000 SEK
 100 001-500 000 SEK
 500 001-1000 000 SEK
 1 000 001-5 000 000 SEK
 5 000 001-10 000 000 SEK
 More than 10 000 000 SEK

9. How often will you make the transfers?

- Less than once a month
 1-5 times/month
 More than 5 times/month

10. How often will you perform transactions in general, for example buying or selling financial instruments?
(On all your Mangold accounts)

- Less than 1 transaction/month
 1-10 transactions/month
 More than 10 transactions/month

11. What is your regular monthly income?

- Less than 30 000 SEK
 30 000-50 000 SEK
 50 000-100 000 SEK
 100 000-300 000 SEK
 More than 300 000 SEK

12. What is the source of your assets? (Money and securities)

- Salary
 Inheritance
 Pension
 Profit from Securities Trading
 Savings
 Lottery/Gaming
 Insurance Payout
 Sale of property

Other:

13. From where will you generally make transfers to your Mangold accounts? (Multiple answers possible)

- Swedish bank
 Swedish insurance company
 Pension
 Employer
 Non-Swedish bank*
 Non-Swedish insurance company*
 *Country:

Please state the name of the bank/financial institution/insurance company from where transactions will generally be made:

.....

14. Do you have income with a source in another country than Sweden?

- Yes If yes, please state which country/countries:
- No

15. What is the purpose of your relationship with Mangold? (In regards to all your accounts, multiple answers possible)

- Saving for yourself Saving for relatives Pension High-frequency trading
- Investing in corporate actions (e.g. IPOs, rights or share issues) Holding/managing currently owned assets

Other:

Are you or any of your relatives/family members or someone you have or have had a close business relation with a "politically exposed person*" according to the law (2017:630) against money laundering and terrorist financing?

- Yes (you need to fill in the "Politically Exposed Persons Form") No

**A politically exposed person is a person who currently has or who has recently had a prominent public function in a state or a function in the governance of an international organization. Examples of such prominent functions are members of Parliament, ambassadors, high officers of the armed forces and members of a state-owned corporation's board of directors. If you are uncertain, please contact Mangold.*

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KNOWLEDGE AND EXPERIENCE

Before you open an account with Mangold, we want to know that the products in question are suitable for you and that you are a part of the to the target market for the product. As a part of this, we need you to answer a few questions about your knowledge and experience regarding the securities market.

All fields are mandatory.

1. Do you have previous experience with trading securities such as shares and mutual funds, that gives you an understanding of the risks associated with trading such securities?

- Yes No

2. Is it possible to lose all or parts of your invested capital if you invest in shares or mutual funds?

- Yes No

3. Do you think, given your financial situation, that you would be able to handle the loss of all or parts of the capital you plan to invest in shares or mutual funds through Mangold.

- Yes No

4. Would you be able to manage your current financial commitments if the capital you plan to invest in financial instruments through Mangold could not be liquidated within a month?

- Yes No

5. Have you traded with subscription rights and warrants more than five times in the last five years?

- Yes No

6. Do you have theoretical knowledge or experience of subscription rights and warrants that makes you understand the risks of these financial instruments?
- Yes No
7. Is it possible to sell listed subscription rights?
- Yes No
8. Should you always act, i.e., sell or subscribe for the rights, when you are allocated subscription rights?
- Yes No
9. Does the company that issued a warrant have any obligation to you who own it?
- Yes No
10. Do interim instruments, such as subscription rights, have a limited expiration time?
- Yes No
11. Do you think, given your financial situation, that you would be able to lose all or parts of the capital you plan to invest in subscription rights or warrants through Mangold?
- Yes No

If you answered **No** to any of these questions, **Mangold do not** consider the financial instruments to be suitable for you to invest in. If the financial instruments are not deemed suitable for you, you are still free to make the investment. In this case, Mangold recommends that you consult a financial advisor and together with him or her carefully consider your investment decisions.

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AGREEMENT

Between the undersigned holder of the Custody and Cash Account (the Client) and Mangold Fondkommission AB (the Company) this Custody and Cash Account Agreement is hereby concluded for the Client of the Company, as per the stated terms and conditions below and on the price list as well as in the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, the GENERAL TERMS AND CONDITIONS FOR TRADING IN FINANCIAL INSTRUMENTS, the COMPANY'S SPECIAL POLICY REGARDING EXECUTION AND ORDER HANDLING and the GENERAL CONDITIONS FOR EXECUTION AND FORWARDING OF ELECTRONIC ORDERS VIA WEBB APPLICATION – each in the version in force from time to time. The Client has read and accepts the currently applicable terms and conditions, pricelist, and guidelines. The above-mentioned general terms and conditions and policy are always available on the Company's web site, www.mangold.se. This Agreement will enter into force when the Client has undersigned it and the Company after evaluation has approved and opened the Custody and Cash Account for the Client.

1. AGREEMENT FOR SEVERAL PERSONS JOINTLY

Where two or more persons have concluded this Agreement with the Company jointly, each of them may represent the other(s) in every respect in relation to the Company, unless otherwise agreed upon in writing. They are jointly liable to the Company, which means that the Company can demand payment from each person where payment obligations arise to the Company under this Agreement. They are jointly referred to as the Client.

2. POWER OF ATTORNEY

The Client hereby authorizes the Company or its appointed nominee to sign on the Client's behalf transfers in respect of shares, bonds, and other securities and to act on behalf of the Client in acquiring and in disposing of securities, and to receive and give receipt for securities and cash. The client shall reimburse the institution for the costs incurred by the institution in conjunction herewith.

3. FISCAL DOMICILE

It is the responsibility of the Client to determine its correct fiscal domicile. For natural persons, the fiscal domicile is normally the country/state where the Client is liable to pay taxes according to the legislation of such country/state based on the Client having its domicile and/or primary residence there, or similar circumstances. For legal entities, the fiscal domicile is normally the country/state in which the legal entity is registered or where the company and/or the company's board has its domicile.

4. AFFIRMATION, CHANGE OF ADDRESS

The Client hereby certifies that the information given on this form which is of importance for taxation, reporting, notice, application of this Agreement, and the implementation of the legal requirements concerning anti-money laundering/financing of terrorism and disclosure obligations is correct and undertakes to inform the Company in writing, without delay, of any changes in this respect, e.g. in the event of relocation to a different country as well as any change of name, telephone number, address information, citizenship, e-mail addresses and possible role as a person in a political exposed position.

5. TREATMENT OF PERSONAL INFORMATION

The Client is aware that the Company may be obliged to release information concerning the Client's affairs. The Client approves the processing of its personal information by the Company for direct marketing purposes.

6. CONSENT IN RESPECT OF FUNDS

The Client hereby approves that funds received by the Company on behalf of the Client may be held by the Company in deposit and need not be separated from the funds of the Company or its other clients or held in a bank account. The Client should note that the funds will be included in the Company's own day-to-day operations and the Client will rank *pari passu* with the Company's other unsecured creditors.

7. OFF-MARKET TRADES

The Company may execute a request to conduct a trade (order) outside a regulated marketplace or multilateral trading facility and without competition, through a trade with another client of the Company, including companies within the same group as the Company, or by executing the order *vis-à-vis* the Company itself.

8. CLIENT CATEGORIZATION

Under the Securities Markets Act (2007:528), all clients trading in securities, buying, or selling fund shares or making other transactions are classified into different groups to customize the protection that all clients are entitled to. The cover is different for different groups of clients, depending upon the Client's knowledge, experience, and other conditions. Clients are divided into non-professional clients, professional clients, and equal counterparties. The Company will place the Client in the non-professional client category, unless otherwise agreed. More information about client classification can be obtained from the Company.

9. ELECTRONIC COMMUNICATION

By signing this agreement, the Client agrees that the Company provides information, e.g. contract notes and notice of changes of conditions, to the client's specified email address and/or via electronic message to the Client's personal, password protected area of the Company's web site, www.mangold.se. For more information, please refer to the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, Section G.2. If the Client does not state an e-mail address, the Company may communicate with the Client also by regular mail. Please note that the Company will be entitled to charge an extra fee for communication by regular mail. The fee is specified in the Company's current price list, which is published on the Company's web site, www.mangold.se.

10. PLEDGE

As collateral for all of the Client's present and future obligations to the Company according to this Agreement or otherwise, the Client hereby pledges to the Company all securities which are from time to time held or are recorded in this Custody Account or in any other Custody Accounts opened by the Client with the Company – including securities recorded and registered in the Client's name in an account based system (for instance, on a VP-account with Euroclear Sweden AB) – as well as all of the Client's securities otherwise transferred or delivered to or acquired through the Company and all funds deposited from time to time in the Cash account connected to the Deposit Account or another account that the Client holds with the Company, and any claim – of any kind – that the Client has against the Company, at any time. The Client may not – without the Company's consent in each case – pledge or otherwise dispose of the securities or funds pledged according to this Agreement to anyone other than the Company. In addition, the pledge shall be governed by the provisions included in Section D. PLEDGES of the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES.

11. COMPLAINTS AND RESCISSION

The Client shall notify the Company in respect of any errors or omissions in contract notes or statements of account or Summaries of transactions supplied by the Company or if such information is missing or if there are any errors or omissions in connections with the provision by the Company of services pursuant to the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, and the Client shall immediately notify the Company thereof (complaint). If the Client wishes to rescind a purchase or sales order, this shall be expressly and immediately conveyed to the Company. Where a complaint or request for rescission is not submitted immediately, the Client shall forfeit the right to demand compensation, rescind the order, or demand that the Company take other action. Please also refer the GENERAL TERMS AND CONDITIONS FOR TRADING IN FINANCIAL INSTRUMENTS. FEES

Trading in financial instruments is subject to fees in accordance with the terms and conditions of this agreement, as well as in accordance with the price list applicable from time to time. The client hereby accepts that surcharges may also be payable pursuant to the following. Separate fees are charged for trading, clearing, or safekeeping of foreign financial instruments and for clearing of Swedish financial instruments. A separate fee may be charged for transfers of financial instruments to another institution and for conversion of financial instruments. A fee is also payable for annulment of orders and/or cancellation of execution by the institution or the marketplace in connection with impermissible order placement or trading. The institution may also charge the client for other costs which are charged to the institution by third parties in connection with the client's trading, clearing, or safekeeping of financial instruments.

12. CHANGES OF CONDITION

Regarding modification of this Agreement and these terms and conditions, see GENERAL CONDITIONS FOR CUSTODY ACCOUNTS/CASH ACCOUNTS, section G.11.

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Signature

Certified copy of ID document

Place/Date	
Signature	Name clarification

Signature in case of more than one guardian or authorized signatory

Place/Date	
Signature	Name clarification