

# MANGOLD

## CUSTODY/CASH ACCOUNT AGREEMENT

Reference (to be completed by Mangold)	Custody and Cash account No (to be completed by Mangold)
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### CLIENT (if the Custody and Cash Account is held jointly, see page 3)

Name (surname, first name) / Name of company (in full)		PIN / CIN / Other identification No	
Street address, box or equivalent (registered address)		Postal code (other than Sweden)	
Telephone		Telephone	
Postal code	Postal district	Country (other than Sweden)	
Country (fiscal domicile)		E-mail	
Bank account (for transfer of funds to the customer)			
Citizenship (other than Swedish)		Foreign tax identification No	

### Politically exposed person form

I have, or have previously had – a high political post or high position – or a close family member or an employee with such a position.

Yes, I shall therefore complete the "Politically Exposed Persons Form".

#### 1. AGREEMENT

Between the undersigned holder of the Custody and Cash Account (*the Client*) and Mangold Fondkommission AB (*the Company*) this Custody and Cash Account Agreement is hereby concluded for the Client of the Company, as per the stated terms and conditions on this page and on the reverse side as well as in the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, the GENERAL TERMS AND CONDITIONS FOR TRADING IN FINANCIAL INSTRUMENTS, the COMPANY'S SPECIAL POLICY REGARDING EXECUTION AND ORDER HANDLING and the GENERAL CONDITIONS FOR EXECUTION AND FORWARDING OF ELECTRONIC ORDERS VIA WEBB APPLICATION – each in the version in force from time to time. The Client has read and accepts the currently applicable terms and conditions. The above mentioned general terms and conditions and policy are at all times available on the Company's web site, [www.mangold.se](http://www.mangold.se). This Agreement will enter into force when the Client has undersigned it and the Company after evaluation has approved and opened the Custody and Cash Account for the Client.

#### 2. AGREEMENT FOR SEVERAL PERSONS JOINTLY

Where two or more persons have concluded this Agreement with the Company jointly, each of them may represent the other(s) in every respect in relation to the Company, unless otherwise agreed upon in writing. They are jointly liable to the Company, which means that the Company can demand payment from each person where payment obligations arise to the Company under this Agreement. They are jointly referred to as the Client.

#### 3. POWER OF ATTORNEY

The Client hereby authorises the Company or its appointed nominee to sign on the Client's behalf transfers in respect of shares, bonds and other securities and to act on behalf of the Client in acquiring and in disposing of securities, and to receive and give receipt for securities and cash.

#### 4. FISCAL DOMICILE

It is the responsibility of the Client to determine its correct fiscal domicile. For natural persons, the fiscal domicile is normally the country/state where the Client is liable to pay taxes according to the legislation of such country/state on the basis of the Client having its domicile and/or primary residence there, or similar circumstances. For legal entities, the fiscal domicile is normally the country/state in which the legal entity is registered or where the company and/or the company's board has its domicile.

#### 5. AFFIRMATION, CHANGE OF ADDRESS

The Client hereby certifies that the information given on this form which is of importance for taxation, the implementation of the legal requirements concerning anti-money laundering/financing of terrorism and disclosure obligations is correct and undertakes to inform the Company promptly in writing of any changes in this respect, e.g. in the event of relocation to a different country as well as any change of name, telephone number, postal addresses and e-mail addresses and possible role as a person in a political exposed position.

MANGOLD FONDKOMMISSION AB

STOCKHOLM | MALMÖ

POSTAL ADDRESS: BOX 55691, 102 15 STOCKHOLM | VISITING ADDRESS: ENGELBREKTSPLAN 2, 114 34 STOCKHOLM | HAMNGATAN 4, 211 22 MALMÖ

TELEPHONE: 08-503 01 550 | FAX: 08-503 01 551 | E-MAIL: [INFO@MANGOLD.SE](mailto:INFO@MANGOLD.SE) | WEBPAGE: [WWW.MANGOLD.SE](http://WWW.MANGOLD.SE)

ORGANISATIONAL NUMBER: 556585-1267

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### 6. TREATMENT OF PERSONAL INFORMATION

The Client is aware that the Company may be obliged to release information concerning the Client's affairs. The Client approves the processing of its personal information by the Company for direct marketing purposes; please refer to the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, Section G.4.

### 7. CONSENT IN RESPECT OF FUNDS

The Client hereby approves that funds received by the Company on behalf of the Client may be held by the Company in deposit and need not be separated from the funds of the Company or its other clients or held in a bank account. The Client should note that the funds will be included in the Company's own day-to-day operations and the Client will rank *pari passu* with the Company's other unsecured creditors.

### 8. OFF-MARKET TRADES

The Company may execute a request to conduct a trade (*order*) outside a regulated marketplace or multilateral trading facility and without competition, through a trade with another client of the Company, including companies within the same group as the Company, or by executing the order *vis-à-vis* the Company itself.

### 9. CLIENT CATEGORIZATION

Under the Securities Markets Act (2007:528), all clients trading in securities, buying or selling fund shares or making other transactions are classified into different groups so as to customise the protection that all clients are entitled to. The cover is different for different groups of clients, depending upon the Client's knowledge, experience and other conditions. Clients are divided into non-professional clients, professional clients and equal counterparties. The Company will place the Client in the non-professional client category, unless otherwise agreed. More information about client classification can be obtained from the Company.

### 10. ELECTRONIC COMMUNICATION

By signing this agreement the Client agrees that the Company provides information, e.g. contract notes and notice of changes of conditions, to the client's specified email address and/or via electronic message to the Client's personal, password protected area of the Company's web site, [www.mangold.se](http://www.mangold.se). For more information, please refer to the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, Section G.3. If the Client does not state an e-mail address, the Company may communicate with the Client also by regular mail. Please note that the Company will be entitled to charge an extra fee for communication by regular mail. The fee is specified in the Company's current price list, which is published on the Company's web site, [www.mangold.se](http://www.mangold.se).

### 11. PLEDGE

As collateral for all of the Client's present and future obligations to the Company according to this Agreement or otherwise, the Client hereby pledges to the Company all securities which are from time to time held or are recorded in this Custody Account or in any other Custody Accounts opened by the Client with the Company – including securities recorded and registered in the Client's name in an account based system (*for instance, on a VP-account with Euroclear Sweden AB*) – as well as all of the Client's securities otherwise transferred or delivered to or acquired through the Company and all funds deposited from time to time in the Cash account connected to the Deposit Account or another account that the Client holds with the Company, and any claim – of any kind – that the Client has against the Company, at any time. The Client may not – without the Company's consent in each particular case – pledge or otherwise dispose of the securities or funds pledged according to this Agreement to anyone other than the Company. In addition, the pledge shall be governed by the provisions included in Section D. PLEDGES of the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES.

### 12. COMPLAINTS AND RESCISSION

The Client shall notify the Company in respect of any errors or omissions in contract notes or statements of account or summaries of transactions supplied by the Company or if such information is missing or if there are any errors or omissions in connections with the provision by the Company of services pursuant to the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES, and the Client shall immediately notify the Company thereof (*complaint*). If the Client wishes to rescind a purchase or sales order, this shall be expressly and immediately conveyed to the Company. Where a complaint or request for rescission is not submitted immediately, the Client shall forfeit the right to demand compensation, rescind the order, or demand that the Company take other action. Please also refer to the GENERAL TERMS AND CONDITIONS FOR TRADING IN FINANCIAL INSTRUMENTS. 13. CHANGES OF CONDITION Please refer to Section G.12 of the GENERAL CONDITIONS GOVERNING CUSTODY AND CASH ACCOUNT SERVICES.

#### Client's signature. The following shall be enclosed

- Certified copy of ID document
- Certified certificate of registration not older than 3 months for legal entities Certification shall be performed by two persons through signature, printed name, TIN/PIN and telephone number.

Place / Date	
Client's signature	Name in block letters

#### Signature of more than one guardian or authorised signatory

Place / Date	
Client's signature	Name in block letters

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ORGANISATIONAL NUMBER: 556585-1267

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## CUSTODY/CASH ACCOUNT AGREEMENT

**CLIENT (if the Custody and Cash Account is held jointly, see page 1)**

Name (surname, first name / Name of company (in full))	PIN / CIN / Other identification No
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Street address, box or equivalent (registered address)		
Postal code	Postal district	Country (other than Sweden)
Telephone No daytime	Telephone No evening	
E-mail	Fiscal domicile address, if different from above	
Country (fiscal domicile)	Citizenship (other than Swedish)	

Client's signature	Place / Date
Name in block letters	Foreign tax identification No

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## CUSTODY/CASH ACCOUNT AGREEMENT

### Breakdown of ownership (to the Custody of Cash Account where the Custody and Cash Account is held jointly)

The custody account holders have equal shares in the Custody and Service Account unless otherwise specified below.

The Custody Account holders' shares in the Custody and Cash Account are as follows:

### Information services and trading system

The Client wishes to obtain access to the information and trading system Mangold Trader (*InFront*) – which is the name in which the Company offers its customers access to InFront A/S' (*Norway*) system The Online Trader – and thereby obtain access to:

price information and other information including the trading functionalities; or

only price information and other information (*i.e., excluding the trading functionalities*)

Our "General terms and conditions for access to the information and trading system Mangold Trader (*InFront*) and general conditions for the execution and forwarding of orders" are applicable to the above services. These terms and conditions state, inter alia, that all orders and instructions given by using the Client's username and password will be binding to the Client.

By (i) choosing information service and/or trading system above and (ii) signing this agreement, the Client confirms that he/she has read and thereby approved these terms and conditions.

### ID-documentation (to be completed by Mangold)

ID-documentation presented

Driving license

Passport

ID-card

Other, describe .....

Company's signature .....

### Company's signature

Place/Date	Company's signature
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