

MANGOLD

LIQUIDITY EXAMINATION FORM – LEGAL ENTITIES

According to the law (2017:630) on measures against money laundering and terrorist financing, Mangold shall endeavour to know their clients better in order to make an assessment of the risk of being exploited for the purposes of money laundering or terrorist financing. We therefore need to know certain information about the company in order to understand the purpose of this business relationship and the company's transactions. We therefore ask that you answer the questions below. This information is mandatory.

Company/Entity	Corporate Identity Number

Beneficial Owners*

Beneficial Owner	TIN/PIN	Reason	
		Possession of Votes (%)	Other reason

Beneficial Owner means a natural person that ultimately controls the legal entity by:

- holding shares, other ownership or membership controls more than 25 % of the voting rights in the legal entity
- having the right to appoint or dismiss more than half of the members of the board or equivalent executives
- an agreement with an owner, a member or the legal entity, a regulation in the articles of association, a general agreement or comparable document can excise the rights intended in the foregoing paragraphs, or
- the chairman of the board, the CEO or other equivalent executive of the legal entity if there is no natural person who ultimately controls the company according to the foregoing paragraphs.

If a natural person ultimately controls on or more legal entities which controls another legal entity according to paragraph 1 - 3 above, he or she shall be considered to ultimately control the latter legal entity.

A natural person shall be considered to ultimately control the legal entity if he or she together with one or more closely related parties can control the legal entity according to paragraph 1 – 3 above.

Concerning foundations (*Swe stiftelse*) – a natural person shall, beyond what is stated above, be considered to ultimately control the foundation if he or she

- 1) is a member of the board of directors or an equivalent executive,
- 2) represent another legal entity which is a trustee of the foundation

The beneficial owner could also be the person for whose benefit the foundation is trading, if he or she according to the decree of the foundation can get a substantial part of the funds distributed by the foundation.

MANGOLD FONDKOMMISSION AB
STOCKHOLM | MALMÖ

POSTADRESS: BOX 55691, 102 15 STOCKHOLM | BESÖKSADRESS: ENGELBREKTSPLAN 2, 114 34 STOCKHOLM | HAMNGATAN 4, 211 22 MALMÖ TELEFON: 08-503 01 550 | FAX: 08-503 01 551 | E-POST: INFO@MANGOLD.SE | HEMSIDA: WWW.MANGOLD.SE
ORGANISATIONSNUMMER: 556585-1267

MANGOLD

LIQUIDITY EXAMINATION FORM – LEGAL ENTITIES

If the legal entity has more than one owner, or is owned by another legal entity, please provide a map or written explanation of the control structure in the box below, or in a separate attachment.

Who made the decision to perform the transaction which the Entity is wanting to perform through Mangold?

Beneficial owner Employee of Entity* External financial adviser* Other*

*Please provide the name of the decision-maker and an explanation of the relationship with the Entity:

Is the transaction being performed on behalf of someone other than the Entity?

Yes No

If yes; on behalf of whom is the transaction performed?

In case the transaction is performed on behalf of someone else, Mangold has a legal obligation to gather knowledge of that person – please contact Mangold and we will supply you with the relevant forms.

What is the source of the money/securities involved in the transaction?

Entity's revenue Savings/investments Gifts/donations Sale of property

Sale of business

Other:

From where will the Entity transfer money and/or securities in connection to the transaction?

Swedish bank/financial institution Swedish insurance company
 Non-Swedish bank/financial institution* Non-Swedish insurance company*

*Country.....

Please state the name of the bank/financial institution/insurance company from where the transfer will be made:

MANGOLD FONDKOMMISSION AB
STOCKHOLM | MALMÖ

POSTADRESS: BOX 55691, 102 15 STOCKHOLM | BESÖKSADRESS: ENGELBREKTSPLAN 2, 114 34 STOCKHOLM | HAMNGATAN 4, 211 22 MALMÖ TELEFON: 08-503 01 550 | FAX: 08-503 01 551 | E-POST: INFO@MANGOLD.SE | HEMSIDA: WWW.MANGOLD.SE
ORGANISATIONSNUMMER: 556585-1267

MANGOLD

LIQUIDITY EXAMINATION FORM – LEGAL ENTITIES

What field/type of activity does the company have to which the account belongs?

- Hotel, restaurant, tourism Health, medical Industry, crafts, service, trade Financial activities
 Transport/logistics Import/export Data/technology/IT

Other:

Does the Entity operate any business, own any company or have any significant customer in any country other than Sweden or the country where Entity is domiciled?

- Yes (please provide an explanation below) No

How many employees does the Entity have?

What is the annual turnover of the Entity?

Turnover:

MANGOLD FONDKOMMISSION AB
STOCKHOLM | MALMÖ

POSTADRESS: BOX 55691, 102 15 STOCKHOLM | BESÖKSADRESS: ENGELBREKTSPLAN 2, 114 34 STOCKHOLM | HAMNGATAN 4, 211 22 MALMÖ TELEFON: 08-503 01 550 | FAX: 08-503 01 551 | E-POST: INFO@MANGOLD.SE | HEMSIDA: WWW.MANGOLD.SE

ORGANISATIONSNUMMER: 556585-1267

MANGOLD

LIQUIDITY EXAMINATION FORM – LEGAL ENTITIES

PEP – Politically exposed persons*.

Are you (including the natural persons stated above) or any of your relatives/family members or someone you have or have had a close business relation with a “politically exposed person” according to the law (2017:630) against money laundering and terrorist financing?

Yes (Please fill in the form “Person in politically exposed position”)

No

** A politically exposed person is a person who currently has or who has recently had a prominent public function in a state or a function in the governance of an international organization. Examples of such prominent functions are members of Parliament, ambassadors, high officers of the armed forces and members of a state-owned corporation’s board of directors. If you are uncertain, please contact Mangold.*

Signature

I hereby certify that I am an authorized signatory of the Entity and that all questions are answered correctly and truthfully.

Place and Date	Signature	Name in block letters

If there was insufficient space to answer any of the above questions completely, please use the below area to expand upon the answer.

MANGOLD FONDKOMMISSION AB
STOCKHOLM | MALMÖ

POSTADRESS: BOX 55691, 102 15 STOCKHOLM | BESÖKSADRESS: ENGELBREKTSPLAN 2, 114 34 STOCKHOLM | HAMNGATAN 4, 211 22 MALMÖ TELEFON: 08-503 01 550 | FAX: 08-503 01 551 | E-POST: INFO@MANGOLD.SE | HEMSIDA: WWW.MANGOLD.SE

ORGANISATIONSNUMMER: 556585-1267